

TradeStation Crypto, Inc. is currently accepting account applications from the following U.S. states.

State	License and Regulatory Disclosure, if any
Alabama	SC Money Transmitter License, License Number 801
Alaska	<p>Money Transmitter License, License Number AK-MT-012345</p> <p>For Alaska Residents Only: If your issue is unresolved by TradeStation Crypto, Inc. (1-800-822-0512), please submit formal complaints with the State of Alaska, Division of Banking & Securities. Please download the form here: https://www.commerce.alaska.gov/web/portals/3/pub/DBSGeneralComplaintFormupdated.pdf</p> <p>Submit formal complaint form with supporting documents: Division of Banking & Securities PO Box 110807 Juneau, AK 99811-0807</p> <p>If you are an Alaska resident with questions regarding formal complaints, please email us at dbs.licensing@alaska.gov or call Nine Zero Seven Four Six Five Two Five Two One.</p>
Arizona	Money Transmitter License, License Number MT-1043047
Arkansas	Money Services – Money Transmitter, License Number 117195
California	Not applicable
Colorado	Not applicable
Connecticut	Money Transmission License, License Number MT-1843762
Delaware	Sale of Checks and Transmission of Money, License Number 027903
District of Columbia	Money Transmitter License, License Number MTR1843762
Florida	<p>Money Transmitter Part II, License Number FT230000256</p> <p>NOTICE: By the Florida Office of Financial Regulation</p> <p>BY GRANTING TRADESTATION CRYPTO, INC. A LICENSE, THE FLORIDA OFFICE OF FINANCIAL REGULATION IS NOT ENDORSING THE USE OF DIGITAL OR VIRTUAL CURRENCIES.</p> <p>* U.S. currency is legal tender backed by the U.S. government.</p>

State	License and Regulatory Disclosure, if any
	<p>* Digital and virtual currencies are not issued or backed by the U.S. government, or related in any way to U.S. currency, and have fewer regulatory protections.</p> <p>* The value of digital and virtual currencies is derived from supply and demand in the global marketplace which can rise or fall independently of any fiat (government) currency.</p> <p>* Holding digital and virtual currencies carries exchange rate and other types of risk.</p> <p>POTENTIAL USERS OF DIGITAL OR VIRTUAL CURRENCIES, INCLUDING BUT NOT LIMITED TO BITCOIN, SHOULD BE FOREWARNED OF A POSSIBLE FINANCIAL LOSS AT THE TIME THAT SUCH CURRENCIES ARE EXCHANGED FOR FIAT CURRENCY DUE TO AN UNFAVORABLE EXCHANGE RATE. A FAVORABLE EXCHANGE RATE AT THE TIME OF EXCHANGE CAN RESULT IN A TAX LIABILITY. PLEASE CONSULT YOUR TAX ADVISOR REGARDING ANY TAX CONSEQUENCES ASSOCIATED WITH YOUR HOLDING OR USE OF DIGITAL OR VIRTUAL CURRENCIES.</p> <p>If you have a question or complaint, please contact TradeStation Client Services at ClientService@TradeStation.com or (800) 822-0512.</p> <p>Florida residents may contact the Florida Office of Financial Regulation with any unresolved questions or complaints about TradeStation Crypto, Inc. at 200 E. Gaines Street, Tallahassee, FL 32399-0376, telephone number: (800) 848-3792 (toll free).</p>
Georgia	Seller of Payment Instruments License, License Number 66683
Idaho	Money Transmitter License, License Number MTL-235
Illinois	<p>Money Transmitter License, License Number MT.0000362</p> <p>Illinois residents may contact the Illinois Department of Financial and Professional Regulation, Division of Financial Institutions, with any unresolved questions or complaints about TradeStation Crypto, Inc. at (888) 473-4858 (toll-free).</p>
Indiana	Not applicable
Iowa	Money Services License, License Number 2019-0056

State	License and Regulatory Disclosure, if any
Kansas	Money Transmitter License, License Number MT.0000166
Kentucky	Not applicable
Louisiana	Virtual Currency Business Activity License
Maine	Money Transmitter License, License Number NMT1803593
Maryland	<p>Money Transmitter License, License Number 1843762</p> <p>The Commissioner of Financial Regulation for the State of Maryland will accept all questions or complaints from Maryland residents regarding TradeStation Crypto, Inc. (License Number 1843762) at Office of the Commissioner of Financial Regulation, Attn: Consumer Services Unit, 1100 N. Eutaw Street, Suite 611, Baltimore, MD 21201, toll free phone number (888) 784-0136.</p>
Massachusetts	Not applicable
Michigan	Money Transmitter License, License Number MT0022200
Minnesota	Money Transmitter License, License Number MN-MT-1843762
Mississippi	Money Transmitter License, License Number 1843762
Missouri	Not applicable
Montana	Not applicable
Nebraska	Money Transmitter License
Nevada	Money Transmitter License, License Number MT11156
New Hampshire	Money Transmitter License, License Number 23135-MT
New Jersey	Money Transmitter License, License Number L072460
New Mexico	Money Transmission License, License Number 1843762
North Carolina	Money Transmitter License, License Number 184933

State	License and Regulatory Disclosure, if any
North Dakota	<p>Money Transmitter License, License Number MT103571</p> <p>If you live in the State of North Dakota, TradeStation Crypto is required to provide you with the following information:</p> <ul style="list-style-type: none"> • Fees and charges assessed by TradeStation Crypto, and how they are calculated, are disclosed at https://www.tradestation.com/pricing/ and https://www.tradestation.com/pricing/service-fees/. • Virtual currency is not money. Your TradeStation Crypto account is not a securities brokerage account, or a checking or savings account, and it is not insured against loss should TradeStation Crypto go bankrupt. TradeStation Crypto accounts and account balances are not subject to Federal Deposit Insurance Corporation or Securities Investor Protection Corporation or any similar protections. • TradeStation Crypto uses BitGo custodial services and applications. BitGo maintains a \$250 million insurance policy covering digital assets across all of its customers' wallets where the private keys are held 100% by BitGo. That policy covers only assets that TradeStation Crypto holds in cold storage wallets with BitGo Trust Company. TradeStation Crypto has supplemented BitGo's coverage of assets held in cold wallets with \$20 million of additional coverage (under BitGo's policy) that is only applicable to TradeStation Crypto's assets held by BitGo. With respect to hot wallets, TradeStation Crypto maintains separate insurance policies with aggregate coverage of up to \$10 million. The insurance policies described above do not cover all possible types of losses, coverage may be denied and, even if a loss is covered, the limits of coverage may not be sufficient to cover the full extent of losses. • All digital asset transactions are final and irreversible. TradeStation Crypto does not accept any returns or provide refunds in connection with any transaction unless required by applicable law. TradeStation Crypto will not be liable or responsible for any losses you sustain arising from a purchase, sale or delayed delivery of digital assets for any reason. TradeStation Crypto is not liable or responsible for non-delivery due to circumstances beyond its reasonable control,

State	License and Regulatory Disclosure, if any
	<p>including asset protocol operations and incorrect address information.</p> <ul style="list-style-type: none"> You agree to immediately notify TradeStation Crypto if you discover or suspect any unauthorized access or use related to TradeStation Crypto’s services or your account. TradeStation Crypto will not be liable for any action it takes (including any transaction in digital assets that TradeStation Crypto executes for you) upon reliance on information that you provide to TradeStation Crypto or upon reliance on any instruction, notice, instrument or other document that TradeStation Crypto believes to be genuinely authorized by you. For example, TradeStation Crypto will not be liable for any losses you incur if you lose your password and another person engages in unauthorized transactions in your account. TradeStation Crypto may not be able to stop transactions already in process after you advise TradeStation Crypto that you have lost your password or unauthorized transactions have occurred (“In-Process Transactions”), and TradeStation Crypto also will not be liable for the completion of such In-Process Transactions. You may update your contact information through the Client Center accessible at https://www.tradestation.com/. Some cryptocurrency transactions are deemed to be made when recorded on a public ledger, which is not necessarily the date or time that the customer initiates the transaction. Therefore, there may be a delay (which may be significant) between the time that you place an order for a transaction and the time that the transaction is executed. You may cancel limit orders and stop orders at any time before they are executed by clicking the cancel button in the user interface in TradeStation Crypto’s software application. Market orders cannot be cancelled because they typically execute upon being entered. Once your order has been executed, you may not change, withdraw or cancel it. Confirmation reports of the execution of orders and monthly statements of account(s) and valuations are available in the Client Center accessible at https://www.tradestation.com/.

State	License and Regulatory Disclosure, if any
	<ul style="list-style-type: none"> North Dakota residents have the right to at least 30 days' notice of a change in TradeStation Crypto's fee schedule and other terms and conditions of operating TradeStation Crypto's virtual currency business activity with them. <p>North Dakota residents may contact the North Dakota Department of Financial Institutions with any unresolved questions or complaints about TradeStation Crypto, Inc.'s money transmission services at (855) 411-2372.</p>
Ohio	Money Transmitter License, License Number OHMT 186
Oklahoma	DOB Money Transmission License, License Number OKDOB001
Oregon	Money Transmitter License, License Number MTX-30242
Pennsylvania	Not applicable
Puerto Rico	Money Transmitter License, License Number TM-131
Rhode Island	Currency Transmitter License, License Number 20193870MT
South Carolina	Money Transmitter License, License Number 1843762
South Dakota	Money Transmitter License, License Number MT.2182
Tennessee	<p>Money Transmitter License, License Number 1843762</p> <p>Please note that TradeStation Crypto is licensed by the Tennessee Department of Financial Institutions as a money transmitter and that this license and the required surety bond do not cover the transmission of virtual currency. The Tennessee Department of Financial Institutions does not regulate virtual currency.</p> <p>If you have a question or complaint, please contact TradeStation Client Services at ClientService@TradeStation.com or (800) 822-0512.</p> <p>Tennessee residents may contact the Tennessee Department of Financial Institutions with any unresolved questions or complaints about TradeStation Crypto, Inc.'s money transmission services at telephone number (800) 778-4215.</p>

State	License and Regulatory Disclosure, if any
Texas	<p>Money Transmission License, License Number 3202</p> <p>If you have a complaint, first contact TradeStation Client Services at ClientService@TradeStation.com or (800) 822-0512. If you still have an unresolved complaint regarding TradeStation Crypto’s money transmission activity, please direct your complaint to: Texas Department of Banking, 2601 North Lamar Boulevard, Austin, Texas 78705, 1-877-276-5554 (toll free), www.dob.texas.gov.</p>
Utah	Not applicable
Vermont	Money Transmitter License, License Number 100-157
Virginia	<p>Money Order Seller and Money Transmitter License, License Number MO-394</p> <p>TradeStation Crypto, Inc. is licensed by the Virginia State Corporation Commission as a money transmitter, but such license does not cover the transmission of virtual currency (Bitcoin).</p>
Washington	<p>Money Transmitter (includes Currency Exchange) License, License Number 550-MT-118785</p> <p>If you live in the State of Washington, TradeStation Crypto is required to provide you with the following information:</p> <ul style="list-style-type: none"> • The nature of virtual currency may lead to an increased risk of fraud or cyber-attack. Transactions in virtual currency may be irreversible, and, accordingly, losses due to fraudulent or accidental transactions may not be recoverable. Fraudulent transactions may result in the loss of your money or other assets with no recourse. • Fees and charges assessed by TradeStation Crypto, and how they are calculated, are disclosed at https://www.tradestation.com/pricing/ and https://www.tradestation.com/pricing/service-fees/. • Your TradeStation Crypto account is not a securities brokerage account, or a checking or savings account, and it is not insured against loss should TradeStation Crypto go bankrupt. TradeStation Crypto accounts and account balances are not subject to Federal Deposit Insurance Corporation or Securities Investor Protection Corporation or any similar protections.

State	License and Regulatory Disclosure, if any
	<ul style="list-style-type: none"> All digital asset transactions are final and irreversible. TradeStation Crypto does not accept any returns or provide refunds in connection with any transaction unless required by applicable law. TradeStation Crypto will not be liable or responsible for any losses you sustain arising from a purchase, sale or delayed delivery of digital assets for any reason. TradeStation Crypto is not liable or responsible for non-delivery due to circumstances beyond its reasonable control, including asset protocol operations and incorrect address information. You agree to immediately notify TradeStation Crypto if you discover or suspect any unauthorized access or use related to TradeStation Crypto's services or your account. TradeStation Crypto will not be liable for any action it takes (including any transaction in digital assets that TradeStation Crypto executes for you) upon reliance on information that you provide to TradeStation Crypto or upon reliance on any instruction, notice, instrument or other document that TradeStation Crypto believes to be genuinely authorized by you. For example, TradeStation Crypto will not be liable for any losses you incur if you lose your password and another person engages in unauthorized transactions in your account. TradeStation Crypto may not be able to stop transactions already in process after you advise TradeStation Crypto that you have lost your password or unauthorized transactions have occurred ("In-Process Transactions"), and TradeStation Crypto also will not be liable for the completion of such In-Process Transactions. <p>If you have a complaint, first contact TradeStation Client Services at ClientService@TradeStation.com or (800) 822-0512, and if you still have an unresolved complaint regarding the TradeStation Crypto's money transmission activity, please contact the Washington State Department of Financial Institutions, Division of Consumer Services using one of the following methods:</p> <p>File a complaint online, mail or fax: https://dfi.wa.gov/consumers/loan-complaints Call us: 1-877-RING DFI (1-877-746-4334) Email us: CSEnforceComplaints@dfi.wa.gov</p>

State	License and Regulatory Disclosure, if any
Wisconsin	Seller of Checks License, License Number 202-SOC
Wyoming	Not applicable



JOSEPH P. BORG
Director

AMANDA L. SENN
Chief Deputy Director

LOUIS V. FRANKLIN, SR.
Deputy Director
Enforcement

STEPHEN P. FEAGA
Chief of Litigation

ALABAMA SECURITIES COMMISSION

445 DEXTER AVENUE, SUITE 12000
MONTGOMERY, ALABAMA 36104

MAIL: POST OFFICE BOX 304700
MONTGOMERY, AL 36130-4700

TELEPHONE (334) 242-2984
1-800-222-1253

FAX (334) 242-0240

E-MAIL asc@asc.alabama.gov

COMMISSIONERS

S. DAGNAL ROWE
CHAIRMAN
Attorney at Law

STEVEN T. MARSHALL
Attorney General

MIKE HILL
Superintendent of Banks

MARK FOWLER
Commissioner of Insurance

W. ALLEN CARROLL, JR.
Certified Public Accountant

HOPE S. MARSHALL
Attorney at Law

CRISTY ANDREWS
Certified Public Accountant

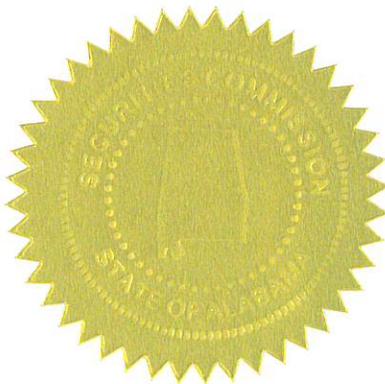
MONEY TRANSMISSION LICENSE

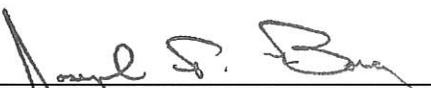
LICENSE NUMBER 801

THIS IS TO CERTIFY THAT TradeStation Crypto, Inc., 8050 SW 10th Street, Plantation, FL 33324, having complied with the requirements of the Alabama Money Transmission Act, Code of Alabama 1975, §8-7A-1 et seq., is hereby licensed to engage in the business of selling or issuing payment instruments, stored value instruments, or receiving money or monetary value for transmission in accordance with and subject to the provisions of said law from the date hereof until **March 31, 2024**, unless this license be otherwise suspended or revoked.

This license does not include securities and investment contracts and further would not include lending, selling, pledging, rehypothecating, assigning, investing, using or commingling digital assets of Alabama residents with digital assets of **TradeStation Crypto, Inc.**

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the official seal of the Alabama Securities Commission, in the City of Montgomery, on **March 10, 2023**.





Joseph P. Borg, Director
Alabama Securities Commission

This license is unassignable and must be displayed in the Public



State of Georgia
DEPARTMENT OF BANKING AND FINANCE
2990 Brandywine Road, Suite 200
Atlanta, Georgia 30341

GA LICENSE# 66683

NMLS# 1843762

License is hereby granted to:

TradeStation Crypto, Inc.

*Under the provisions of the Official Code of Georgia Annotated Section 7-1-680 et seq., the above named is licensed to engage in the business of **Money Transmission**.*

This license is not transferable, assignable, or subject to a change of ownership, and should be conspicuously posted in you place of business.

THIS LICENSE IS VALID UNTIL DECEMBER 31, 2024

Unless REVOKED, WITHDRAWN, SUSPENDED or EXPIRES prior to that date.

To verify validity of a license, please call the Georgia Department of Banking and Finance at:

Phone: 770-986-1633 or access the NMLS website

www.nmlsconsumeraccess.org

Rod Carnes

Rod Carnes, CFE
Deputy Commissioner
Non-Depository Financial Institutions

State of Iowa

Division of Banking

Money Services License

License Number MS – 2019-0056

This is to Certify That

TradeStation Crypto, Inc.,
NMLS Company Identification 1843762

has complied with the application requirements of Chapter 533C of the Code of Iowa. The licensee, and all authorized delegates thereof are hereby licensed to engage in the business of money services, whether in the form of money transmission or currency exchange from and after the 18th day of July, 2019.

This authority is granted upon the express condition that said licensee observe and obey all laws which currently now are or may be in force relating to said business and all rules and regulations made by the Superintendent of Banking.

Subject to annual renewal, this license shall be in full force and effect unless surrendered by the licensee or revoked or suspended in accordance with the provisions of Chapter 533C or any related laws. This license may not be assigned or transferred.



IN WITNESS WHEREOF, I have hereunto set my hand
and Seal of Office this 18th day of July, 2019.

Ronald L. Hansen, Superintendent of Banking

A handwritten signature in blue ink, appearing to read "Rodney E. Reed".

Rodney E. Reed, Finance Bureau Chief

State of Michigan



License No. MT 0022200

Department of Insurance and Financial Services Lansing, Michigan

This is to certify that, effective October 01, 2022

TRADESTATION CRYPTO, INC.

dba TS CRYPTO

*8050 Southwest Tenth Street
Plantation, FL 33324*

is hereby duly LICENSED in the State of Michigan in accordance with the provisions of Act No. 250, Public Acts of 2006, the Money Transmission Services Act. This License is not transferable or assignable.



Anita G. Fox, Director

October 03, 2022

Date

This certificate shall be conspicuously displayed in the place of business specified herein.

STATE OF MINNESOTA



DEPARTMENT OF COMMERCE

The Undersigned
COMMISSIONER OF COMMERCE
for the State of Minnesota
hereby certifies that

TradeStation Crypto, Inc. DBA TS Crypto

has made application, paid the fees required and in all other respects complied with the laws of the State of Minnesota and is hereby authorized to transact the business of money transmitter,

at: 8050 SW 10th Street, Plantation, FL 33324

unless this authority be suspended, revoked, or otherwise legally terminated. This certificate shall be in effect until December 31, 2023.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the official seal of the Department of Commerce, of the State of Minnesota at my office in the City of St. Paul, Minnesota, this 1st day of January 2023.



A handwritten signature in black ink, appearing to read "Maxwell Zappia".

Maxwell Zappia, Deputy Commissioner

STATE OF NEW HAMPSHIRE

Effective Date: January 01, 2021



License Number
23135-MT

New Hampshire Banking Department
53 Regional Drive, Suite 200
Concord, New Hampshire 03301
(603) 271-3561

This is to certify that a license to engage in business as a
MONEY TRANSMITTER

is hereby issued to: TRADESTATION CRYPTO, INC.
at: 8050 SW 10TH ST
STE 4000
PLANTATION, FL 33324
Type: PRINCIPAL OFFICE
TRADE NAME OR D/B/A: TS Crypto

in accordance with and subject to the provisions of New Hampshire Revised Statutes Annotated Chapter 399-G as amended and any regulations promulgated thereunder.

License Expires: December 31, 2021

A handwritten signature in black ink, appearing to read "Gerald H. Little".

Gerald H. Little
Bank Commissioner

State of Oklahoma



CERTIFICATE OF AUTHORITY Office of State Bank Commissioner

Effective Date: August 31, 2019

It is Hereby Certified: That TradeStation Crypto, Inc., whose office is in Plantation, Florida, is hereby authorized to engage in the business of money transmission in the State of Oklahoma, subject to the provisions and requirements of the Oklahoma Financial Transaction Reporting Act, 6 O.S. § 1511 et seq., and regulations of the Oklahoma State Banking Board promulgated there under.



In Testimony Whereof, I, Mick Thompson, Bank Commissioner of the State of Oklahoma, have hereunto set my hand and affixed my seal of office at the City of Oklahoma City, the day and year first above written.



State Bank Commissioner



State of Oregon
Department of Consumer and Business Services
Division of Financial Regulation
PO Box 14480, 350 Winter St. NE, Suite 410, Salem, Oregon 97309-0405
Phone: (503) 378-4140



**MONEY TRANSMITTERS LICENSE
UNDER THE OREGON MONEY TRANSMITTERS ACT
License # MTX-30242**

Pursuant to the provisions of Oregon Revised Statute 717, the following is licensed to engage in the business of selling, issuing or delivering checks, drafts, money orders, or other instruments for the transmission or payment of money in the state of Oregon:

**TRADESTATION CRYPTO INC
8050 SW 10TH STE 4000
FORT LAUDERDALE FL 33324**

This license shall remain in full force and effect from and after September 16, 2019, upon payment of the annual license fee, unless it is suspended, revoked or surrendered.

NOTICE

This business is subject to the regulations and oversight of the Division of Financial Regulation of the Department of Consumer and Business Services.

Consumers' inquiries or complaints may be made by calling (866) 814-9710.



A handwritten signature in black ink, reading "Andrew Stolfi".

Andrew Stolfi, Administrator
Division of Financial Regulation
Signed: September 16, 2019

STATE OF TEXAS



DEPARTMENT OF BANKING

LICENSE NUMBER 3202

I, Charles G. Cooper, Banking Commissioner of Texas, acting under the provisions of the Texas Finance Code, Chapter 151, hereby grant to:

TradeStation Crypto, Inc.
dba TS Crypto
8050 Southwest 10th Street, Suite 4000
Plantation, Florida

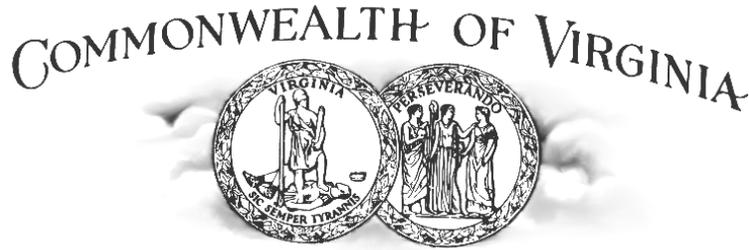
Authority to engage in the business of money transmission.

This license is not a property or business right.

In testimony whereof I have hereunto subscribed my name
on this 26th day of August A. D. 2020.

Handwritten signature of Charles G. Cooper in cursive script.

Banking Commissioner of Texas



**STATE CORPORATION COMMISSION
BUREAU OF FINANCIAL INSTITUTIONS**

LICENSE NO. MO-394

THIS IS TO CERTIFY THAT:

**TRADESTATION CRYPTO INC.
D/B/A TS CRYPTO**

_____ having met the requirements of law, is authorized to engage in the business of money transmission, under the provisions of Chapter 19 of Title 6.2 of the Code of Virginia, at or in:

8050 SW 10th Street, Suite 2000, Plantation, Florida 33324

IN WITNESS WHEREOF, I have set my hand this _____ eleventh

day of _____ December _____, 2023, at Richmond, Virginia.

E. J. Face, Jr., Commissioner of Financial Institutions

THIS LICENSE IS NOT TRANSFERABLE OR ASSIGNABLE

This license is to be displayed conspicuously where business is transacted

State of Wisconsin

DEPARTMENT OF FINANCIAL INSTITUTIONS

This License Must Be Conspicuously Posted In the Public Office

**TradeStation Crypto Inc
DBA TS Crypto**

having complied with the requirements set forth under Chapter 217 of the Wisconsin Statutes, is hereby licensed to engage in business as a

Seller of Checks

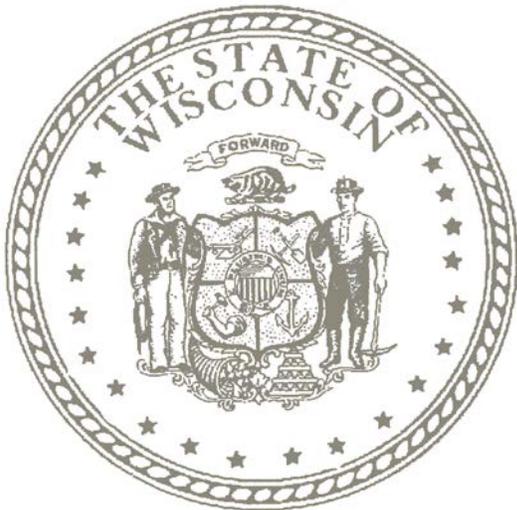
and may authorize its agents to sell its checks and receive money for transmission in accordance with and subject to the provisions of said Chapter 217. The records relating to Wisconsin operations are maintained at:

**8050 SW 10th Street, Suite 200
Plantation, FL**

This license cannot be assigned or transferred and continues in effect until terminated as provided in Chapter 217.

License No. 202

Effective Date: April 22, 2023



Kim Swissdorf